

# UTILITY PATENT APPLICATION TRANSMITTAL

For new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.

209013US55CONT

First Inventor or Application Identifier

John P. L.

Title

METHODS AND PRODUCTS FOR THE SYNTHESIS OF OLIGOSACCHARIDE STRUCTURES ON GLYCOPROTEINS, GLYCOLIPIDS, OR AS FREE MOLECULES, AND FOR THE ISOLATION OF CLONED GENETIC SEQUENCES THAT DETERMINE THESE STRUCTURES

Assignee Name: The Regents of the University of Michigan

Assignee Address: 475 E. Jefferson Street, Room 2354, Ann Arbor, MI 48109-1248

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2. ☒ Specification

Total Sheets **251**

3. ☒ Drawing(s) (35 U.S.C. 113)

Total Sheets **19**

4. ☒ Oath or Declaration

Total Pages **2**

- a. ☐ Newly executed (original or copy)

- b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 17 completed)

- i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).

5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)

- b. Specification or Sequence Listing on:

- i. ☐ CD-ROM or CD-R (2 copies); or

- ii. ☐ Paper

- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☒ The prior application is assigned to: The Regents of the University of Michigan, Rel 6311, Frame Nos. 0456-0457

8. ☐ Application Data Sheet. See 37 CFR 1.76

9. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

12. ☒ Preliminary Amendment

13. ☒ White Advance Serial No. Postcard

14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

15. ☒ Applicant claims small entity status.  
See 37 CFR 1.27

16. ☒ Other: Request for Priority  
Sequence Listing Statement

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 08/823,489

Prior application information: Examiner: R. PROUTY

Group Art Unit: 1652

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☒ Continuation ☐ Division ☐ Continuation-in-part (CIP)

of application Serial No. 08/823,489 Filed on March 25, 1997, which is a Divisional of U.S. Application Serial No.

08/696,731, filed August 13, 1996, now U.S. Patent 5,955,347; which is a Divisional of U.S. Application Serial No. 08/393,246, filed February 23, 1995, now U.S. Patent 5,595,900; which is a Continuation of U.S. Application Serial No. 08/220,433, filed March 30, 1994, now abandoned; which is a Divisional of U.S. Application Serial No. 07/914,281, filed July 20, 1992, now U.S. Patent 5,324,663; which is a Continuation-in-Part (CIP) of U.S. Application Serial No. 07/715,900, filed June 19, 1991, now abandoned; which is a CIP of U.S. Application Serial No. 07/627,621, filed December 12, 1990, now abandoned; which is a CIP of U.S. Application Serial No. 07/479,858, filed February 14, 1990, now abandoned.

- ☐ Which was published in English

- ☐ Which was not published in English

- ☐ This application claims priority of provisional application Serial No.

Filed

## 19. CORRESPONDENCE ADDRESS



**22850**

(703) 413-3000

FACSIMILE: (703) 413-2220

Name:	Jean-Paul Lavalleye	Registration No.:	31,451
Signature:		Date:	05/24/01
Name:	James J. Kelly, Ph.D.	Registration No.:	41,504

Docket No. 209013US55CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) John B. LOWE

SERIAL NO: New Application

FILING DATE: Herewith

FOR: METHODS AND PRODUCTS FOR THE SYNTHESIS OF OLIGOSACCHARIDE STRUCTURES ON GLYCOPROTEINS, GLYCOLIPIDS, OR AS FREE MOLECULES, AND FOR THE ISOLATION OF CLONED GENETIC SEQUENCES THAT DETERMINE THESE STRUCTURES

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	44 - 20 =	24	× \$18 =	\$432.00
INDEPENDENT CLAIMS	11 - 3 =	8	× \$80 =	\$640.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$1,782.00
<input checked="" type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$-891.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
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A check in the amount of **\$891.00** to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Date: 05/24/01



**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/00)

Jean-Paul Lavalleye  
Registration No. 31,451

James J. Kelly, Ph.D.  
Registration No. 41,504